



OVERHAUL PROTECTION ON-HIGHWAY VEHICLE ENGINES

Overhaul Protection For Cat® On-Highway Vehicle Engines
Registration Certificate For Overhauls Performed Worldwide (Excludes Colombia and Venezuela)
Effective Date: November 1, 2014

CUSTOMER NAME: Wm Dickson Industries Inc PHONE: 724 539 6605
ADDRESS: 113 Lee Valley Road CITY: Derry
STATE / PROVINCE: PA POSTAL CODE: 15627 COUNTRY: USA
CAT® DEALER: Cleveland Brothers Equipment Co Inc DEALER CODE: B101
ADDRESS: 190 Earhardt Drive CITY: Hunker
STATE / PROVINCE: PA POSTAL CODE: 15639 COUNTRY: USA
TEPS DEALER: Penn View Equipment Co, Inc DEALER CODE: AK53
ADDRESS: 592 Penn View Road CITY: Blairsville
STATE / PROVINCE: PA POSTAL CODE: 15717 COUNTRY: USA

PROVIDER: See Section XIV.

REGISTRATION DETAIL

- ☒ New Registration
☐ Delayed Coverage Start
☐ Transfer of Ownership
☐ Replacement Engine
☐ Upgrade of Coverage

Engine Serial No.: 6T800492 Engine Sales Model: 3406E Horsepower: 550
Application: Triaxle Dump Truck Engine Overhaul/OPT Start Date: August 8 2016
Start ECM Mi/Kms: 280118 miles Start Odometer Mi/Kms: 249113 odometer
Replacement Date: _____ ECM Mi/Kms: _____ Odometer Mi/Kms: _____
Transfer Date: _____ ECM Mi/Kms: _____ Odometer Mi/Kms: _____
Veh. OEM: Western Star Veh. Model: 4908F VIN: SKKXAF008DPB14445

COVERAGE OPTIONS AND TERMS

Heavy Duty Coverage	Months	Miles/Kms	Price
OPT	<u>48 mths unlimited</u>		<u>3520.00</u>
Towing (U.S.A. & Canada Only)			

Medium Duty Coverage	Months	Miles/Kms	Price
OPT			
Towing (U.S.A. & Canada Only)			

IMPORTANT: Overhaul Worksheet must be completed prior to enrollment and attached to this Registration Certificate!

Deductible (Per Visit): U.S.A. \$ _____ \$0

EXTENDED COVERAGE FEES

IMPORTANT!

This contract provides only those coverages with a months and miles/kms term indicated above. Coverage options listed above may not be available for all engine models and applications. Dealer - Refer to published price lists for currently available coverage options and applications.

Coverage Fee (from above): U.S.D. \$ 3520.00
Late Fee: U.S.D. \$ _____
Tax: U.S.D. \$ _____
Total Coverage Fee: U.S.D. \$ 3520.00

Limit of Liability:

The maximum amount we will pay for any single claim will be the reasonable cost to repair or replace the covered vehicle engine, not to exceed Caterpillar's list price for equivalent replacement engine.

I hereby certify that I have read and understand the terms and conditions checked above and as specified within the additional number of pages indicated on the bottom of this Registration Certificate.

Wm Dickson
Customer Signature

August 8 2016
Date

I hereby certify that the engine serial number indicated above is eligible for the extended coverage as specified on this Registration Certificate and have read and understand the Dealer's responsibilities as specified in the ESC Administration Manual.

Pamela A Empfield
Authorized Dealer Representative

August 8 2016
Date

304

Done
on
Karma

We will
be
sell
for
4 yr
opt
3520.00

We
will
receive
credit
for
3 yr
rebate
2700.00

Registered
w/ ✓
Cat

OVERHAUL PROTECTION
ON-HIGHWAY VEHICLE ENGINES
OPERATING WORLDWIDE (Excludes Colombia and Venezuela)

Overhaul Certification Worksheet - This is NOT The Registration Form

Overhaul Date: August 8 2016 Program Effective Date: January 1, 2014
 Owner Name: Wm Dickson Industries Inc Overhauling Dealer: Penn View Equipment Co Inc Overhauling Dealer Code: AK53
 Vehicle Year / Make / Model: 2013 WStar Owner Address: 113 Lee Valley Road Derry PA 15627
 VIN #: 5KKXAFO08DPB14445 Engine Sales Model: 3406E Engine S/N: 6T200492
 P: 550 ECM Start Miles/Kms: 4900.35 Odometer Start Miles/Kms: 249113 miles Total Fuel (Gallons/Liters): 49
REQUIRED ACTIONS

REQUIRED ACTIONS

Download ECM and Record Active Faults and Lifetime Totals
Check SIMS and perform any applicable PIP / PSP's
Engines must complete and pass test after overhaul
REQUIRED REPAIRS

REQUIRED REPLACEMENT COMPONENTS

REPLACEMENT COMPONENTS

Thermostat

Spacer Plate Gasket

Rod, Main & Thrust Bearings

Valve Cover Gasket

Oil Filter, Fuel Filters, and Cooling System Conditioner

Cylinder Packs (Required If Available)

Piston Group Wrist Pin, Retainer Clip & Rings (Where Applicable)

Piston Body Assembly (Where Applicable)

Exhaust Manifold Studs / Gasket / Sleeves

Cylinder Head Assembly / Head Gasket / Injector Sleeves /

Injector Sleeve Seal O-rings

Connecting Rod Assembly (Where Applicable)

Fuel Nozzles (Where Applicable)

Cam Follower Spring Guides (Where Applicable)

O Ring Seals (between spacer block & cylinder head) (3176 Only)

Cylinder Head Bolts on (C-10, C11, C-12, & C13)

Variable Valve Actuators (VVAs) Mounting Studs (where applicable and NOT the latest design)

COMPLETED

☒ Yes
☒ Yes
☒ Yes

OPTIONAL REPLACEMENT COMPONENTS *

Front Cover Gasket	☒	☒
Turbocharger(s)** / Seals / Gaskets	☒	☒
Water Pump / Seals / Gaskets	☒	☒
Unit Injectors***	☒	☒
Air Compressor	☒	☒
Variable Valve Actuators (VVAs) Assembly***	☒	☒

ADDITIONAL COMPONENTS

Pre-Cooler (turbocharger) +
Pre-Cooler Mounting Bracket (turbocharger) ++
Coolant Diverter Valve or Assembly
Engine Oil Rail (EOR) Valve

NOTE:

***Optional Replacement Components are covered only if replaced with new or Caterpillar Remanufactured components at time of the overhaul.**

**** If the engine has two turbocharger(s), both must be replaced to qualify for optional coverage.**

*** Electronic Unit Injectors and Variable Valve Actuators (VVAs) must be replaced as a set to qualify for optional coverage.

* Pre-Cooler is covered only if inspected or replaced, and the Pre-Cooler Mounting Bracket is of the latest design at the time of the overhaul.

++ This component must be of the latest design to qualify for coverage. Dealers must confirm the latest design or replace this component at the time of the overhaul to receive coverage under the program.

INSPECTED COMPONENTS

INSPECTED COMPONENTS

Components listed in this section are covered only if they pass inspection, are repaired or replaced during the overhaul. Parts not replaced must be within the specifications set forth in the Service Manual or applicable Guideline for Reusable Parts. If a component fails the inspection and is not repaired or replaced it will not be covered under this program. In this case the Exception box should be marked. One time use components as identified by applicable service guide must be replaced. If the component is replaced, all parts of the component must be replaced. If all parts of the component are not replaced, it should be marked as an exception.

INSPECTED

INSPECTED

	Yes	Replaced	Exceptions
thermostat Housing	☐		
pacer Plate / Spacer Block	☐		
camshaft	☒		
Camshaft Follower / Lifter	☒		
Vibration Damper	☒		
Crankshaft	☒		
Oil Pump	☒		
Front Covers and Plate	☐		
Oil Cooler Housing	☒		
Rocker Arm Assembly	☒		
Intake Manifold	☒		

INSPECTED

	Yes	Repaired	Replaced	Exceptions
Cylinder Head Bolts	☒		☐	☐
Exhaust Manifold	☒		☐	☐
Valve Cover & Base	☒		☐	☐
Water Pump Housing	☐		☒	☐
Flywheel Housing	☒		☐	☐
Front Housing	☒		☐	☐
**Cylinder Block / Deck / Counter Bore	☒	☐	☐	☐
Piston Cooling Spray Jets	☒		☐	☐
Oil Pan	☒		☐	☐
Freeze Plugs	☒		☐	☐
Main Bearing Cap Bolts	☒		☐	☐

if the camshaft is replaced, any lifters/rollers/followers that are in direct contact with the camshaft must also be replaced. If the lifters/roller/followers are not replaced, it should be marked as an exception.

At the time of the overhaul if the camshaft is replaced, the lifters/roller/followers must also be replaced. If the lifters/roller/followers are not replaced, it should be marked as an exception.

Main Bearing Cap Bolts ☒

At the time of the overhaul if the cylinder block is cracked or is or has been welded / repaired, the block must be replaced or checked as a exception and is not covered under the program.

Customer Signature John Jackson Date August 8 2016

I hereby certify that the appropriate Overhaul Certification procedure as specified above has been completed and the engine is eligible for Overhaul Protection. Only genuine Caterpillar new or remanufactured components were utilized on the repairs listed above. A copy of the Dealer Work Order is attached.

Dealer Service Manager Signature Camela A. Emfield Date August 8 2016



Penn View Equipment Co., Inc.

TRUCKS PARTS • SERVICE • TOWING

592 PENN VIEW RD • BLAIRSVILLE, PA 15717

PARTS (724) 459-6057 • SERVICE (724) 459-9560

TOLL FREE 1-800-882-1701



INVOICE DATE	
12/27/2016 05:16PM	
INVOICE NO.	PAGE
1102	1
CUSTOMER NO.	BRANCH
21385	

SOLD TO WM. DICKSON INDS. INC.

SHIP TO WM. DICKSON INDS. INC.

113 LEE VALLEY ROAD
DERRY PA 15627

113 LEE VALLEY ROAD
DERRY PA 15627

TERMS: NET 30. Finance charge of 1-1/2% per month will be added to all accounts after 30 days. This is an annual rate of 18%.

CUSTOMER P.O.	R/S ORDER NO.		PRICE/PER	EXTENSION
	116867	(724) 539-6605	PE	000/000

COMPLETION DATE: 08/08/2016

UNIT: WS118 YEAR: 2013 MAKE/MODEL: W STAR 4900SF

SERIAL: 5KKXAF008DPB14445 MILEAGE: 249113

LICENSE NO.:

ENGINE MAKE: CAT

ENG MODEL/H.P.: 3406E

ENGINE S/N: 6TS00492

CPL/ARR:

OB#01 00 000 01 TOWING AND RECOVERY

WRECKER CALL TO LYNN CONSTRUCTION AT SPRINGDALE. HOOK UP
TO FRONT OF TRUCK. REMOVE DRIVESHAFT. INSTALL LIGHT BAR.
TOW TRUCK TO OUR SHOP.

PARTS SUBTOTAL	0.00
LABOR SUBTOTAL	612.50
** JOB SUBTOTAL	612.50

OB#02 00 000 16 ENGINE

REINSTALL DRIVESHAFT. STEAM CLEAN ENGINE. DRAIN ENGINE
OIL - THERE WAS 5 GALLONS OF ANTIFREEZE IN THE OIL PAN.
REMOVE AIR PIPING, VALVE COVERS, JAKES, TURBO, ROCKER
SHAFT ASSEMBLIES, AND INJECTORS. REMOVE CYLINDER HEAD.
FOUND HEAD CRACKED IN FOUR CYLINDERS AND HEAD GASKET
LEAKING COMPRESSION IN #4 CYLINDER. REMOVE OIL PAN, OIL
PICK UP TUBES, AND OIL PUMP. REMOVE ALL SIX CYLINDER
PACKS. FOUND ALL SIX LINERS PITTED SEVERELY ON THE
OUTSIDE. PERFORM INFRAME OVERHAUL USING PLATINUM KIT.
CUT COUNTERBORE IN #4 CYLINDER AND INSTALL LINER SHIM.
INSTALL SIX REMAN CYLINDER PACKS. INSTALL NEW MAIN AND
ROD BEARINGS AND NEW THRUSTWASHERS. SWITCH COMPONENTS
OVER TO REMAN HEAD. INSTALL ALL NEW EXHAUST MANIFOLD
STUDS, GASKETS (HEAT SLEEVES), AND NUTS. INSTALL NEW
BLOCK TOP SPACER PLATE. INSTALL REMAN HEAD WITH NEW
HEAD BOLTS AS NEEDED. REPLACE TURBOCHARGER. REPLACE
COOLANT THERMOSTAT, SEAL, AND GASKET. REPLACE MIDDLE
ROCKER SHAFT. INSTALL SIX REMAN INJECTORS. REINSTALL
ROCKER SHAFT ASSEMBLIES AND JAKES. ADJUST VALVES,
INJECTORS, AND JAKES. REINSTALL VALVE COVER BASE WITH
NEW GASKET. REINSTALL VALVE COVERS WITH NEW GASKETS.
REPLACE WATER PUMP. INSTALL REMAN OIL PUMP. SWITCH GEAR
OVER TO REMAN OIL PUMP. INSTALL THREE NEW RUBBER PLUGS
IN THE BLOCK FOR EXTRA DIPSTICK TUBE HOLES. REINSTALL
OIL PAN WITH NEW GASKET. REPLACE ONE PISTON COOLING

SALES TAX	PLEASE PAY
	* CONTINUED *

Warranties on the product sold hereby are those made by the manufacturer. The seller hereby expressly disclaims all warranties, either express or implied, including any warranty of merchantability or fitness for a particular purpose, and the seller neither assumes nor authorizes any other person to assume for it any liability in connection with the sale of said products. Any limitation contained herein does not apply where prohibited by law. I hereby authorize the above repair work to be done along with necessary materials. You and your employees may operate above vehicle for purposes of testing, inspection or delivery at my risk. An express mechanic's lien is acknowledged on above vehicle to secure the amount of repairs made. You will not be held responsible for loss or damage to vehicle or articles left in vehicle in case of fire, theft, accident or any other cause beyond your control.

Customer Signature X



Penn View Equipment Co., Inc.

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CUSTOMER PO.	R/S ORDER NO.			
	116867	(724) 539-6605	PE	000/000
			PRICE/PER	EXTENSION

NOZZLE. INSTALL NEW ENGINE OIL, OIL FILTER, AND FUEL FILTERS. REPLACE BOTH BOTTOM RADIATOR PIPES AND SILICONE HOSES AND CLAMPS - BOTH OLD PIPES WERE RUSTED SEVERELY. INSTALL NEW FAN AND ALTERNATOR BELTS. FILL RADIATOR WITH NEW EXTENDED LIFE COOLANT.

1	CA	6I4421	PLATE SPACER	\$472.81	383.01EA	383.01
1	M3	7450	SCOTCH BRITE DISC	\$5.68	4.26EAT	4.26
1	CC	5089T	BRAKE CLEAN	\$5.40	4.05EAT	4.05
1	CA	1439509	EPOXY MIX TUBE	\$3.16	2.56EA	2.56
7	CA	1241854	BOLT	\$15.95	12.92EA	90.44
6	CA	1241855	12PT. HEAD BOLT	\$14.49	11.74EA	70.44
1	GW	JL-28003-1	MOLY PASTE 1/3 CAN	\$24.66	18.50EA	18.50
1	CA	1U5516	DISC PAD	\$1.56	1.26EAT	1.26
1	CA	1439508	EPOXY	\$30.26	24.52EA	24.52
1	CA	9Y3368	.0324 LINER SHIM	\$73.97	59.92EA	59.92
2	GA	9525	BELT	\$22.62	16.97EA	33.94
2	GA	TR22625	BELT	\$42.68	32.01EA	64.02
2	CA	8T4983	CLAMP 1-1/2 TO 2-1/4	\$14.55	11.79EA	23.58
1	CA	1048585	GASKET REGLT	\$3.80	3.08EA	3.08
3	AUT	561.12150	1-1/2 SILICONE HOSE/IN	\$1.11	0.83IN	2.49
1	AQ	900729-27	"P" CLAMP	\$1.92	1.44EA	1.44
2	GW	1/4USS	1/4 USS FLAT WASHER	\$0.10	0.08EA	0.16
2	GW	1/4LOCK	1/4 LOCK WASHER	\$0.05	0.03EA	0.06
1	GW	1/4NC	1/4 USS NUT	\$0.22	0.17EA	0.17
1	GW	1/4X1NC	1/4 X 1 USS BOLT	\$0.12	0.09EA	0.09
12	AQ	FLX5501-250	2-1/2 SILICONE HEATER	\$2.63	1.97IN	23.64
8	M3	6240	HEAVY DUTY WIRE TIE	\$0.42	0.32EAT	2.56
8	M3	6227	LONG WIRE TIE	\$0.24	0.18EAT	1.44
4	CA	8T4984	CLAMP 2-1/2 TO 3-1/4	\$14.15	11.47EA	45.88
1	WS	20406-3501	PIPE,RAD OUTLET 340	\$189.94	145.45EA	145.45
1	CA	1663650	TUBE AS-OIL	\$93.06	75.38EA	75.38
1	CA	9X6458	WASHER HARD 1494163	\$0.56	0.45EA	0.45
1	WS	20028-3404	GASKET	\$19.88	15.16EA	15.16
1	AUT	561.230406	AIR TO AIR CLAMP	\$9.08	6.81EA	6.81
2	GW	3/8X1NC	3/8 X 1 USS BOLT	\$0.26	0.20EA	0.40
2	CA	5M2894	WASHER	\$0.31	0.25EA	0.50
1	CA	OR7205	TURBO GP	\$2235.85 EXC	1861.22EA*	1861.22
1	CA	OR7205-C1	TURBO GP	\$769.01 CHG	769.01EA	769.01
1	CA	OR7205-C1	TURBO GP	RET	769.01EA	769.01
3	M3	6226	MEDIUM WIRE TIE	\$0.14	0.11EAT	0.33
3	M3	6227	LONG WIRE TIE	\$0.24	0.18EAT	0.54
			FREIGHT			
			TAXABLE SUB TOTAL			
			TAX STATUS/STATE			
			SALES TAX			
			PLEASE PAY			

CONTINUED

warranties on the product sold hereby are those made by the manufacturer. The seller hereby expressly disclaims all warranties, either express or implied, including any warranty of merchantability or fitness for a particular purpose, and the seller neither assumes nor authorizes any other person to assume for it any liability in connection with the sale of said products. Any limitation contained herein does not apply where prohibited by law. I hereby authorize the above repair work to be done along with necessary materials. You and your employees may operate above vehicle for purposes of testing, inspection or delivery at my risk. An express mechanic's lien is acknowledged on above vehicle to secure the amount of repairs. You will not be held responsible for loss or damage to vehicle or articles left in vehicle in case of fire, theft, accident or any other cause beyond your control.

Customer Signature X



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INVOICE NO.	1102	PAGE 3
CUSTOMER NO.	21385	BRANCH

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DERRY PA 15627

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116867		(724) 539-6605		PE		000/000	
				PRICE/PER		EXTENSION	
1 CA	6V5048	SEAL-O-RING	\$3.48	2.82EA		2.82	
1 WS	20402-3406	PIPE ENGINE OUTLET		100.80EA*		100.80	
12 AQ	FLX5501-300	3" COOLANT HOSE	\$2.93	2.20IN		26.40	
4 CA	1440367	CLAMP	\$14.52	11.76EA		47.04	
1 CA	3078322	SHAFT AS-RKR	\$334.89	271.29EA		271.29	
1 CA	10R9564	PLATINUM KIT	\$16888.38 EXC	10776.04EA*		10776.04	
1 CA	10R9564-C1	CORE CHARGE	\$10215.14 CHG	5107.57EA*		5107.57	
1-CA	10R9564-C1	CORE RETURN	RET	5107.57EA*		5107.57-	
1 2L	LFF5	FUEL FILTER	\$8.10	6.07EA		6.07	
		FUEL FOR FILTER				2.00	
		SHOP SUPPLIES				15.18	
12 CA	2388650BULK	CAT PREMIX ANTIFREEZE	\$19.38	11.14EA		133.68	
10 CG	701	GAL 15W40 MOTOR OIL	\$19.04	11.90EA		119.00	
		STEAM CLEAN DISPOSAL				20.00	
3 CA	4589587	PAINT-YELLOW	\$14.82	8.52EA		25.56	
		OPT WARRANTY - 4 YR/ UNLIMITED MILES				3520.00	
		3 REBATE FOR 3 YR OPT				2700.00-	
		FREE WITH PURCHASE OF INJECTORS & TURBO					
		MISC SUBTOTAL				857.18	
		PARTS SUBTOTAL				14476.45	
		LABOR SUBTOTAL				4312.50	
		** JOB SUBTOTAL				19646.13	
		*TOTAL PARTS:				14476.45	
		*TOTAL LABOR:				4925.00	
		*TOTAL OTHER:				857.18	

FREIGHT	TAXABLE SUB TOTAL	TAX STATUS/STATE	SALES TAX	PLEASE PAY
	20258.63	EXEMPT PA*	0.87	20259.50

Warranties on the product sold hereby are those made by the manufacturer. The seller hereby expressly disclaims all warranties, either express or implied, including any warranty of merchantability or fitness for a particular purpose, and the seller neither assumes nor authorizes any other person to assume for it any liability in connection with the sale of said products. Any limitation contained herein does not apply where prohibited by law. I hereby authorize the above repair work to be done along with necessary materials. You and your employees may operate above vehicle for purposes of testing, inspection or delivery at my risk. An express mechanic's lien is acknowledged on above vehicle to secure the amount of repairs etc. You will not be held responsible for loss or damage to vehicle or articles left in vehicle in case of fire, theft, accident or any other cause beyond your control.

Customer Signature X _____